

Department of Labor

United States Senate
Senator Tom Coburn, MD

December 21, 2010

The Honorable Hilda L. Solis
Secretary of Labor
United States Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

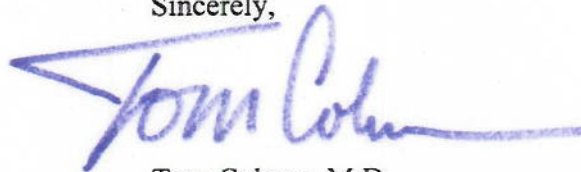
Dear Secretary Solis:

The Department of Labor administers several programs that provide important and often essential financial benefits to unemployed workers who become unemployed through no fault of their own. These programs include: (1) Unemployment Insurance (UI); (2) Unemployment Compensation for Federal Employees; (3) Unemployment Compensation for Ex-Servicemembers; (4) Emergency Unemployment Compensation (EUC08); (3) Extended Benefits (EB); (4) Self-Employment Assistance; (5) Trade Readjustment Allowances; and (6) Disaster Unemployment Assistance.

For each program listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also had an adjusted gross income of \$1,000,000 or greater: (1) name of individual; (2) total adjusted gross income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by January 21, 2011. If you have any questions, including the format in which the information should be produced, please contact [redacted]

Sincerely,



Tom Coburn, M.D.
U.S. Senator

U.S. Department of Labor

Assistant Secretary for
Employment and Training
Washington, D.C. 20210

Q31544



JAN 24 2011

The Honorable Tom Coburn
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Thank you for your letter to Secretary of Labor Hilda L. Solis requesting information on individuals who received Unemployment Insurance (UI) benefits and had an adjusted gross income of \$1,000,000 or more. Your letter was forwarded for response to the Employment and Training Administration, which has oversight responsibility for the federal-state UI program.

The federal-state UI program is a cooperative arrangement between the Federal government and the individual states. Generally, the Federal government is responsible for policy and performance oversight, and the states are responsible for the day-to-day administration of their UI law, including processing claims for benefits and collecting taxes. By agreement, the states also act as agents for the Secretary of Labor in the administration of the Unemployment Compensation for Federal Employees, the Emergency Unemployment Compensation Act of 2008, Trade Readjustment Allowances, and the Disaster Unemployment Assistance programs.

While the U.S. Department of Labor collects aggregate weekly, monthly, quarterly, and annual UI claims information from the states on workload and performance measures, we do not collect or maintain individual claimant benefit and wage data. Therefore, we are unable to provide you with the information you have requested.

If you have any questions, please do not hesitate to call me at _____

Sincerely,

A handwritten signature in cursive script that reads "Jane Oates".

Jane Oates
Assistant Secretary

RECEIVED
2011 JAN 24 P. 3:00
EXEC. SECRETARIAT
OSEC-DOL

May 5, 2011


Via U.S. Mail and Email

The Honorable Hilda L. Solis
Secretary of Labor
United States Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

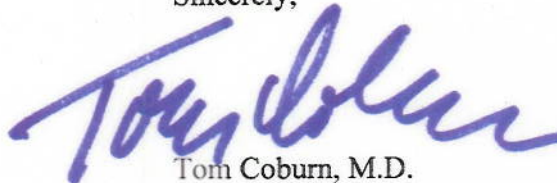
Dear Secretary Solis:

The Department of Labor administers several programs that provide important and often essential financial benefits to certain Americans, who become disabled due to their employment. These programs include: (1) Longshore and Harbor Workers' Compensation; (2) Coal Mine Workers' Compensation; (3) Energy Employees Occupational Illness Compensation; and (4) Federal Workers' Compensation.

I am interested in the individuals that receive benefits through these programs. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 19, 2011. If you have any questions, including the format in which the information should be produced, please contact 

Sincerely,



Tom Coburn, M.D.
United States Senator



JUL - 6 2011

File Number:

The Honorable Tom Coburn, M.D.
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Thank you for your letter to Secretary Hilda L. Solis regarding benefits provided by the Office of Workers' Compensation Programs (OWCP).

Your letter requests information for individuals who received benefit payments during fiscal years (FY) 2007, 2008, 2009 and 2010 from OWCP's Division of Federal Employees' Compensation, Division of Longshore and Harbor Workers' Compensation Division of Coal Mine Workers' Compensation, or Division of Energy Employees Occupational Illness Compensation, "who also reported income of \$1,000,000 or greater through any agency measure of income (such as adjusted Gross Income)."

OWCP disability programs do not collect information on "income" since that term generally includes both wages and other earned income and unearned income, such as interest, dividends, royalties and other income classified as unearned income. The OWCP programs do, however, maintain certain information on wages and other earned income of injured worker claimants. We have searched that information for the relevant time periods and have found no instance in which a worker received compensation from OWCP while also reporting annual wages and other earned income of \$1,000,000 or more.

We hope that this information is helpful.

Sincerely,

A handwritten signature in black ink, appearing to read "Gary A. Steinberg". The signature is fluid and cursive, with a large loop at the end.

Gary A. Steinberg
Acting Director, Office of
Workers' Compensation Programs

National Endowment
for the Arts

May 4, 2010

Via U.S. Mail and Email

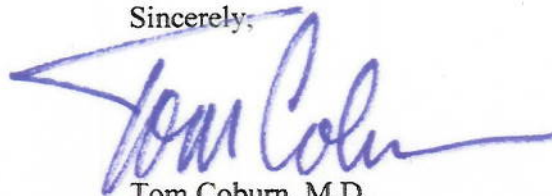
The Honorable Rocco Landesman
Chairman
National Endowment for the Arts
1100 Pennsylvania Avenue, NW
Room 516
Washington, D.C. 20506

Dear Chairman Landesman:

The National Endowment for the Arts ("NEA") provides grants directly to qualifying individuals to support artistic endeavors. I am interested in learning more about grant recipients. For these grants, please provide the following information for all individuals that received any payment from these grants during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any NEA measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) amount received from each grant; and (5) total amount received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 18, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,



Tom Coburn, M.D.
United States Senator



ART WORKS.
arts.gov

May 18, 2011

Via email

The Honorable Tom Coburn
172 Russell Senate Office Building
Washington, DC 20510

Dear Senator Coburn:

Thank you for letter dated May 4th in which you request information on Arts Endowment grants to qualifying individuals. Per Congressional legislation, the only NEA awards that go to individuals are literature fellowships and the lifetime honorific programs—NEA Jazz Masters, NEA Heritage Fellowships, and NEA Opera Honors. Accordingly, I have attached a spreadsheet that lists the individuals who received these awards from FY 2007 to FY 2010 and the total amount each individual received. Since these grants are one-time only awards, the total amount awarded in each fiscal year represents the total amount awarded during the period in question. Additionally, you request the total reported income for each individual during each fiscal year. Since the Agency does not collect this information, it has not been included.

If you have any questions regarding this or any other NEA matter, please do not hesitate to contact the Agency's Congressional Liaison]

Sincerely,

Rocco Landesman
Chairman

National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2007	Benson, Nicholas W.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Conde, Sidiki	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	de Cristoforo, Violet K.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Freeman, Roland L.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Gold, Patricia S.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Kamae, Edward L.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Lira, Agustin	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Parker, Julia F.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Thompson, Joseph Aquiller	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Trujillo, Irvin L.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Watts, Elaine	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2007	Barber, David	Literature	Literature Fellowships	\$20,000
2007	Barnstone, Anthony	Literature	Literature Fellowships	\$20,000
2007	Beer, Nicole	Literature	Literature Fellowships	\$20,000
2007	Bradley, John	Literature	Literature Fellowships	\$20,000
2007	Budy, Andrea H.	Literature	Literature Fellowships	\$20,000
2007	Burawa, Christopher M.	Literature	Literature Fellowships	\$20,000
2007	Campana, Joseph	Literature	Literature Fellowships	\$20,000
2007	Carpenter, Bogdana M.	Literature	Literature Fellowships	\$20,000
2007	Clements, Susan	Literature	Literature Fellowships	\$20,000
2007	Cooper, Thomas	Literature	Literature Fellowships	\$20,000
2007	Creech, Wayne M.	Literature	Literature Fellowships	\$20,000
2007	Curdy, Averill	Literature	Literature Fellowships	\$20,000
2007	Darst, Lightsey	Literature	Literature Fellowships	\$20,000
2007	Davis, Carol Ann	Literature	Literature Fellowships	\$20,000
2007	Detorie, Michelle	Literature	Literature Fellowships	\$20,000
2007	Dunham, Rebecca	Literature	Literature Fellowships	\$20,000
2007	Emmerich, Karen R.	Literature	Literature Fellowships	\$10,000
2007	Estes, Angela	Literature	Literature Fellowships	\$20,000
2007	Fink, Jonathan	Literature	Literature Fellowships	\$20,000
2007	Flynn, Rachel Contreni	Literature	Literature Fellowships	\$20,000
2007	Forhan, Christopher	Literature	Literature Fellowships	\$20,000
2007	Gehrke, Steven	Literature	Literature Fellowships	\$20,000
2007	Gill, Lisa	Literature	Literature Fellowships	\$20,000
2007	Hamilton, Saskia	Literature	Literature Fellowships	\$20,000
2007	Harrington, Janice	Literature	Literature Fellowships	\$20,000
2007	Hoch, James	Literature	Literature Fellowships	\$20,000
2007	Johnson, William	Literature	Literature Fellowships	\$20,000
2007	Larsen, Lance	Literature	Literature Fellowships	\$20,000
2007	Lerman, Eleanor	Literature	Literature Fellowships	\$20,000
2007	Levin, Phillis	Literature	Literature Fellowships	\$20,000
2007	Mark, Sabrina Orah	Literature	Literature Fellowships	\$20,000
2007	Monsour, Leslie	Literature	Literature Fellowships	\$20,000
2007	Nevin, Sean	Literature	Literature Fellowships	\$20,000
2007	Orlich, Ileana A.	Literature	Literature Fellowships	\$10,000
2007	Osier, Jill	Literature	Literature Fellowships	\$20,000
2007	Pelegrin-Davidson, Alison	Literature	Literature Fellowships	\$20,000
2007	Penuel, John F.	Literature	Literature Fellowships	\$20,000
2007	Powell, Lynn	Literature	Literature Fellowships	\$20,000
2007	Prufer, Kevin	Literature	Literature Fellowships	\$20,000
2007	Rigby, Karen	Literature	Literature Fellowships	\$20,000

National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2007	Roeser, Dana	Literature	Literature Fellowships	\$20,000
2007	Romaine, Barbara S.	Literature	Literature Fellowships	\$10,000
2007	Ronk, Martha	Literature	Literature Fellowships	\$20,000
2007	Rosemurgy, Catherine	Literature	Literature Fellowships	\$20,000
2007	Sampson, Dennis	Literature	Literature Fellowships	\$20,000
2007	Satterfield, Jane	Literature	Literature Fellowships	\$20,000
2007	Schuster, Cindy L.	Literature	Literature Fellowships	\$10,000
2007	Schwartz, Marian B.	Literature	Literature Fellowships	\$20,000
2007	Searls, Damion	Literature	Literature Fellowships	\$10,000
2007	Shinder, Jason	Literature	Literature Fellowships	\$20,000
2007	Shugaar, Antony	Literature	Literature Fellowships	\$10,000
2007	Skinner, Jeffrey	Literature	Literature Fellowships	\$20,000
2007	Smith, John D.	Literature	Literature Fellowships	\$20,000
2007	Su, Adrienne	Literature	Literature Fellowships	\$20,000
2007	Sullivan, Mark	Literature	Literature Fellowships	\$20,000
2007	Tejada, Roberto J.	Literature	Literature Fellowships	\$10,000
2007	Turner, Brian	Literature	Literature Fellowships	\$20,000
2007	Waldrep III, George Calvin	Literature	Literature Fellowships	\$20,000
2007	Walker, Nicole	Literature	Literature Fellowships	\$20,000
2007	Williamson, Elizabeth K.	Literature	Literature Fellowships	\$10,000
2007	Williamson, Greg	Literature	Literature Fellowships	\$20,000
2007	Wilson, Emily	Literature	Literature Fellowships	\$20,000
2007	Wimmer, Natasha	Literature	Literature Fellowships	\$20,000
2007	Yurdana, Matt	Literature	Literature Fellowships	\$20,000
2007	Akiyoshi, Toshiko	National Initiatives	NEA Jazz Masters	\$25,000
2007	Fuller, Curtis DuBois	National Initiatives	NEA Jazz Masters	\$25,000
2007	Lewis, Ramsey	National Initiatives	NEA Jazz Masters	\$25,000
2007	Morgenstern, Dan	National Initiatives	NEA Jazz Masters	\$25,000
2007	Scott, James Victor	National Initiatives	NEA Jazz Masters	\$25,000
2007	Wess, Frank	National Initiatives	NEA Jazz Masters	\$25,000
2007	Woods, Philip Wells	National Initiatives	NEA Jazz Masters	\$25,000
			Total 2007	\$1,595,000

National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2008	Axtell, Horace P.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Cornelius, Carol A.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Harwood, Dale	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Kimbrell, Bettye J.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Lozano, Jeronimo E.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Murray Chiesa, Walter	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Park, Sue	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Seyoum, Moges	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Vieira, Jelon G.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	White, Michael G.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Wiseman, Malcolm B.	Folk & Traditional Arts	National Heritage Fellowships	\$20,000
2008	Alessio, Carolyn M.	Literature	Literature Fellowships	\$25,000
2008	Allen, Stephanie T.	Literature	Literature Fellowships	\$25,000
2008	Arvin, Nicholas	Literature	Literature Fellowships	\$25,000
2008	Behl, Aditya	Literature	Literature Fellowships	\$20,000
2008	Benson, Nicholas V.	Literature	Literature Fellowships	\$10,000
2008	Bernofsky, Susan	Literature	Literature Fellowships	\$20,000
2008	Bhattacharya, Nilanjan	Literature	Literature Fellowships	\$10,000
2008	Boehm, Philip S.	Literature	Literature Fellowships	\$20,000
2008	Brkic, Courtney A.	Literature	Literature Fellowships	\$25,000
2008	Carmell, Pamela	Literature	Literature Fellowships	\$20,000
2008	Caspers, Nona M.	Literature	Literature Fellowships	\$25,000
2008	Chambers, Christopher R.	Literature	Literature Fellowships	\$25,000
2008	Clarke, Brockway A.	Literature	Literature Fellowships	\$25,000
2008	Cooney, Ellen	Literature	Literature Fellowships	\$25,000
2008	D'Agata, John	Literature	Literature Fellowships	\$25,000
2008	Davis, Richard	Literature	Literature Fellowships	\$10,000
2008	Dean, Margaret L.	Literature	Literature Fellowships	\$25,000
2008	Delaney, Edward J.	Literature	Literature Fellowships	\$25,000
2008	Dinh, Viet P.	Literature	Literature Fellowships	\$25,000
2008	Dorst, Douglas	Literature	Literature Fellowships	\$25,000
2008	Erlandson, Kelly	Literature	Literature Fellowships	\$25,000
2008	Falco, Edward	Literature	Literature Fellowships	\$25,000
2008	Frank, Judith	Literature	Literature Fellowships	\$25,000
2008	Freund, Diane E.	Literature	Literature Fellowships	\$25,000
2008	Furman, Laura J.	Literature	Literature Fellowships	\$25,000
2008	Howard, Ravi	Literature	Literature Fellowships	\$25,000
2008	Hunkins, Elizabeth A.	Literature	Literature Fellowships	\$10,000
2008	Ison, Tara	Literature	Literature Fellowships	\$25,000
2008	Kaplan, Hester M.	Literature	Literature Fellowships	\$25,000
2008	Kaukonen, Scott A.	Literature	Literature Fellowships	\$25,000
2008	Kolosov-Wenthe, Jacqueline A.	Literature	Literature Fellowships	\$25,000
2008	Lewis, William H.	Literature	Literature Fellowships	\$25,000
2008	Lystra, Donald W.	Literature	Literature Fellowships	\$25,000
2008	Marquart, Debra K.	Literature	Literature Fellowships	\$25,000
2008	Martin, William E.	Literature	Literature Fellowships	\$20,000
2008	McNeely, Thomas H.	Literature	Literature Fellowships	\$25,000
2008	Mockler, Karen M.	Literature	Literature Fellowships	\$25,000
2008	Moffett, Kevin F.	Literature	Literature Fellowships	\$25,000
2008	Morris, David J.	Literature	Literature Fellowships	\$25,000

**National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010**

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2008	Nakayasu, Sawako	Literature	Literature Fellowships	\$10,000
2008	Olchvary, Paul E.	Literature	Literature Fellowships	\$10,000
2008	Ortiz-Taylor, Sheila	Literature	Literature Fellowships	\$25,000
2008	Perks, Micah E.	Literature	Literature Fellowships	\$25,000
2008	Read, Cornelia L.	Literature	Literature Fellowships	\$25,000
2008	Reed, James P.	Literature	Literature Fellowships	\$25,000
2008	Silver, Katherine	Literature	Literature Fellowships	\$20,000
2008	Stewart, Elizabeth	Literature	Literature Fellowships	\$10,000
2008	Thon, Melanie Rae	Literature	Literature Fellowships	\$25,000
2008	Tomlinson, James	Literature	Literature Fellowships	\$25,000
2008	Vann, David J.	Literature	Literature Fellowships	\$25,000
2008	Watman, Maxwell	Literature	Literature Fellowships	\$25,000
2008	Weigel, Marie	Literature	Literature Fellowships	\$10,000
2008	Williams, Ann J.	Literature	Literature Fellowships	\$25,000
2008	Yates, Dwight A.	Literature	Literature Fellowships	\$25,000
2008	Zabor, Joel W.	Literature	Literature Fellowships	\$25,000
2008	Zobal, Silas	Literature	Literature Fellowships	\$25,000
2008	Benson, George	National Initiatives	NEA Jazz Masters	\$25,000
2008	Camero, Candido	National Initiatives	NEA Jazz Masters	\$25,000
2008	Cobb, James W.	National Initiatives	NEA Jazz Masters	\$25,000
2008	Jones, Quincy	National Initiatives	NEA Jazz Masters	\$25,000
2008	Konitz, Leon Lee	National Initiatives	NEA Jazz Masters	\$25,000
2008	McIntosh, Tom	National Initiatives	NEA Jazz Masters	\$25,000
2008	Schuller, Gunther	National Initiatives	NEA Jazz Masters	\$25,000
2008	Thielemans, Jean B.	National Initiatives	NEA Jazz Masters	\$25,000
2008	Van Gelder, Rudolph	National Initiatives	NEA Jazz Masters	\$25,000
2008	Wilder, Joe	National Initiatives	NEA Jazz Masters	\$25,000
2008	Young, Eugene E.	National Initiatives	NEA Jazz Masters	\$25,000
2008	Floyd, Carlisle	Opera	Opera Honors	\$25,000
2008	Gaddes, Richard	Opera	Opera Honors	\$25,000
2008	Levine, James	Opera	Opera Honors	\$25,000
2008	Price, Leontyne	Opera	Opera Honors	\$25,000
			Total 2008	\$1,845,000

National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2009	Colon Zayas, Edwin S.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Das, Chitresh	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Graber, LeRoy	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Guillory, Ida	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Laufman, William D.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	McKen, Dawn L.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Nelson, Joel E.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Rofkar, Teresa M.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Seeger, Michael	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Shapiro, Sophiline C.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Taylor, Barry L.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2009	Allen, William M.	Literature	Literature Fellowships	\$25,000
2009	Angles, Jeffrey M.	Literature	Literature Fellowships	\$20,000
2009	Aynesworth, Michele	Literature	Literature Fellowships	\$20,000
2009	Bargowski, John	Literature	Literature Fellowships	\$25,000
2009	Berry, Michael S.	Literature	Literature Fellowships	\$20,000
2009	Black, Rebecca	Literature	Literature Fellowships	\$25,000
2009	Bohince, Paula J.	Literature	Literature Fellowships	\$25,000
2009	Brown, Lorraine N.	Literature	Literature Fellowships	\$25,000
2009	Candelaria, Xochiquetzal	Literature	Literature Fellowships	\$25,000
2009	Charara, Hayan	Literature	Literature Fellowships	\$25,000
2009	Cole, Henri	Literature	Literature Fellowships	\$25,000
2009	Davidson, Robin F.	Literature	Literature Fellowships	\$10,000
2009	Diaz, Joanne T.	Literature	Literature Fellowships	\$25,000
2009	Dischell, Stuart	Literature	Literature Fellowships	\$25,000
2009	Frelich, Sarah J.	Literature	Literature Fellowships	\$25,000
2009	Frost, Helen M.	Literature	Literature Fellowships	\$25,000
2009	Gibb, Robert A.	Literature	Literature Fellowships	\$25,000
2009	Gingerich, Stephen D.	Literature	Literature Fellowships	\$10,000
2009	Goetsch, Douglas	Literature	Literature Fellowships	\$25,000
2009	Graham, II, Loren E.	Literature	Literature Fellowships	\$25,000
2009	Green, Samuel L.	Literature	Literature Fellowships	\$25,000
2009	Hedeen, Katherine M.	Literature	Literature Fellowships	\$10,000
2009	Hicok, Robert G.	Literature	Literature Fellowships	\$25,000
2009	Horacek, Josef	Literature	Literature Fellowships	\$10,000
2009	Jaffe, Maxine	Literature	Literature Fellowships	\$25,000
2009	Kasdorf, Julia	Literature	Literature Fellowships	\$25,000
2009	Knight, Lynne	Literature	Literature Fellowships	\$25,000
2009	Koo, Jason Y.	Literature	Literature Fellowships	\$25,000
2009	Kronen, Steven D.	Literature	Literature Fellowships	\$25,000
2009	Leeming, Joseph	Literature	Literature Fellowships	\$25,000
2009	MCGRUFF, Michael	Literature	Literature Fellowships	\$25,000
2009	Meek, Anna G.	Literature	Literature Fellowships	\$25,000
2009	Menes, Orlando R.	Literature	Literature Fellowships	\$25,000
2009	Nezhukumatathil, Aimee C.	Literature	Literature Fellowships	\$25,000
2009	Notter, IV, William J.	Literature	Literature Fellowships	\$25,000
2009	Paloff, Benjamin	Literature	Literature Fellowships	\$25,000
2009	Phillips, Patrick	Literature	Literature Fellowships	\$25,000
2009	Pottlitzer, Joanne	Literature	Literature Fellowships	\$10,000
2009	Powell, Joseph E.	Literature	Literature Fellowships	\$25,000

**National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010**

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2009	Rafferty, Charles	Literature	Literature Fellowships	\$25,000
2009	Rathburn, Chelsea T.	Literature	Literature Fellowships	\$25,000
2009	Reidel, James	Literature	Literature Fellowships	\$10,000
2009	Rosenberg, Idra	Literature	Literature Fellowships	\$20,000
2009	Rosenthal, Mira	Literature	Literature Fellowships	\$20,000
2009	Satterlee, Thomas D.	Literature	Literature Fellowships	\$25,000
2009	Shearin, J. Faith	Literature	Literature Fellowships	\$25,000
2009	Shumate, David J.	Literature	Literature Fellowships	\$25,000
2009	Spera, Gabriel	Literature	Literature Fellowships	\$25,000
2009	Springer, Jane	Literature	Literature Fellowships	\$25,000
2009	Stallings, Alicia E.	Literature	Literature Fellowships	\$20,000
2009	Struloeff, John	Literature	Literature Fellowships	\$25,000
2009	Szybist, Mary C.	Literature	Literature Fellowships	\$25,000
2009	Tipton, Carolyn L.	Literature	Literature Fellowships	\$20,000
2009	Worra, Bryan D.	Literature	Literature Fellowships	\$25,000
2009	Young, Clarence D.	Literature	Literature Fellowships	\$25,000
2009	Abrams, Richard	Music	NEA Jazz Masters	\$25,000
2009	Avakian, George	Music	NEA Jazz Masters	\$25,000
2009	Barron, Kenneth	Music	NEA Jazz Masters	\$25,000
2009	Holman, Willis L.	Music	NEA Jazz Masters	\$25,000
2009	Hutcherson, Robert	Music	NEA Jazz Masters	\$25,000
2009	Lateef, Yusef A.	Music	NEA Jazz Masters	\$25,000
2009	Ross, Annie	Music	NEA Jazz Masters	\$25,000
2009	Walton, Cedar	Music	NEA Jazz Masters	\$25,000
2009	Adams, John C.	Opera	Opera Honors	\$25,000
2009	Corsaro, Frank A.	Opera	Opera Honors	\$25,000
2009	Horne, Marilyn	Opera	Opera Honors	\$25,000
2009	Mansouri, Lotfollah	Opera	Opera Honors	\$25,000
2009	Rudel, Julius	Opera	Opera Honors	\$25,000
			Total 2009	\$1,850,000

**National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010**

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2010	Addy, Yacub T.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Chancellor, James D.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Grace, Gladys K.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Jackson, Mary A.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	McCoury, Delano F.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	McCulloh, Judith M.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Narayanan, Kamala L.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Rafferty, Michael F.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Torres, Luis E.	Folk & Traditional Arts	National Heritage Fellowships	\$25,000
2010	Abdoh, Salar	Literature	Literature Fellowships	\$25,000
2010	Aranson Svarlien, Diane	Literature	Literature Fellowships	\$12,500
2010	Batt, Matthew C.	Literature	Literature Fellowships	\$25,000
2010	Bauer, Douglas	Literature	Literature Fellowships	\$25,000
2010	Beach-Ferrara, Jasmine E.	Literature	Literature Fellowships	\$25,000
2010	Bilodeau, Chantal	Literature	Literature Fellowships	\$25,000
2010	Broumas, Olga	Literature	Literature Fellowships	\$12,500
2010	Brown, Sean B.	Literature	Literature Fellowships	\$25,000
2010	Crawford, Serena	Literature	Literature Fellowships	\$25,000
2010	Czyzniejewski, Michael G.	Literature	Literature Fellowships	\$25,000
2010	Elias-Bursac, Ellen	Literature	Literature Fellowships	\$12,500
2010	Gifford, Barry C.	Literature	Literature Fellowships	\$25,000
2010	Henry, Brian	Literature	Literature Fellowships	\$25,000
2010	Hohn, Donovan M.	Literature	Literature Fellowships	\$25,000
2010	Hwang, Frances	Literature	Literature Fellowships	\$25,000
2010	Jahn, Benjamin E.	Literature	Literature Fellowships	\$25,000
2010	Johnson, Adam M.	Literature	Literature Fellowships	\$25,000
2010	Joseph, Sheri	Literature	Literature Fellowships	\$25,000
2010	Kesey, Roy L.	Literature	Literature Fellowships	\$25,000
2010	Kingery, Sandra L.	Literature	Literature Fellowships	\$12,500
2010	Kover, Tina A.	Literature	Literature Fellowships	\$12,500
2010	Landis, Dylan	Literature	Literature Fellowships	\$25,000
2010	Lewis, Teresa	Literature	Literature Fellowships	\$12,500
2010	Lukas, Michael D.	Literature	Literature Fellowships	\$25,000
2010	Mandell, Charlotte	Literature	Literature Fellowships	\$25,000
2010	McMullan, Margaret E.	Literature	Literature Fellowships	\$25,000
2010	Meruane, Lina	Literature	Literature Fellowships	\$25,000
2010	Moore, Alison L.	Literature	Literature Fellowships	\$25,000
2010	Ostashevsky, Eugene	Literature	Literature Fellowships	\$12,500
2010	Packer, Zuwen T.	Literature	Literature Fellowships	\$25,000
2010	Paris, Rachel	Literature	Literature Fellowships	\$25,000
2010	Phan, Aimee V.	Literature	Literature Fellowships	\$25,000
2010	Prcic, Ismet	Literature	Literature Fellowships	\$25,000
2010	Raeburn, Daniel K.	Literature	Literature Fellowships	\$25,000
2010	Reyes, Paul R.	Literature	Literature Fellowships	\$25,000
2010	Rivecca, Suzanne K.	Literature	Literature Fellowships	\$25,000
2010	Rivera, Elena	Literature	Literature Fellowships	\$12,500
2010	Robinson, Lewis G.	Literature	Literature Fellowships	\$25,000
2010	Rosenberg, Robert A.	Literature	Literature Fellowships	\$25,000
2010	Sandrow, Nahma	Literature	Literature Fellowships	\$25,000
2010	Sanow, Anne	Literature	Literature Fellowships	\$25,000

**National Endowment for the Arts
Grants to Individuals (Fellowships/Honorific)
FY 2007 - 2010**

FY	Grantee	Discipline	Subcode of Discipline	Award Amount
2010	Shapiro, Daniel E.	Literature	Literature Fellowships	\$25,000
2010	Sharpe, Matthew	Literature	Literature Fellowships	\$25,000
2010	Smith, Gregory B.	Literature	Literature Fellowships	\$25,000
2010	Solnit, Rebecca	Literature	Literature Fellowships	\$25,000
2010	Stewart, Leah	Literature	Literature Fellowships	\$25,000
2010	Sumner, Melanie D.	Literature	Literature Fellowships	\$25,000
2010	Tennent, Martha	Literature	Literature Fellowships	\$25,000
2010	Thompson, Christina A.	Literature	Literature Fellowships	\$25,000
2010	Tillinghast, Richard W.	Literature	Literature Fellowships	\$12,500
2010	Valentino, Russell S.	Literature	Literature Fellowships	\$12,500
2010	Viswanathan, Padma	Literature	Literature Fellowships	\$25,000
2010	Vollmer, James M.	Literature	Literature Fellowships	\$25,000
2010	Wayne, Derek E.	Literature	Literature Fellowships	\$25,000
2010	Wickersham, Joan B.	Literature	Literature Fellowships	\$25,000
2010	Wilderson, Frank B.	Literature	Literature Fellowships	\$25,000
2010	Wilhelm, Vinnie G.	Literature	Literature Fellowships	\$25,000
2010	Zelitch, Simone	Literature	Literature Fellowships	\$25,000
2010	Keepnews, Orrin	Music	NEA Jazz Masters	\$25,000
2010	Laws, Hubert	Music	NEA Jazz Masters	\$25,000
2010	Liebman, David	Music	NEA Jazz Masters	\$25,000
2010	Mandel, Johnny	Music	NEA Jazz Masters	\$25,000
2010	Marsalis, Jr., Ellis	Music	NEA Jazz Masters	\$25,000
2010	Arroyo, Martina	Opera	Opera Honors	\$25,000
2010	DiChiera, David	Opera	Opera Honors	\$25,000
2010	Glass, Philip	Opera	Opera Honors	\$25,000
2010	Queler, Eve	Opera	Opera Honors	\$25,000
			Total 2010	\$1,775,000

Institute of Peace

May 4, 2010

Via U.S. Mail and Email

Mr. Richard H. Solomon
President
United States Institute of Peace
2301 Constitution Avenue, N.W.
Washington, D.C. 20037

Ambassador Solomon:

The United States Institute of Peace administers a number of important programs and grants to individuals to advance certain interests on behalf of the United States, mainly concerning conflict resolution. I am interested in the learning more about the recipients of these grants, which include, but are not limited to: (1) the Annual Grant Competition; (2) the Priority Grant Competition; and the (3) Annual Senior Fellowship Competition.

I am interested in the individuals that receive funds from these programs and grants. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 18, 2011. If you have any questions, including the format in which the information should be produced, please contact :

Sincerely,



Tom Coburn, M.D.
United States Senator



UNITED STATES INSTITUTE OF PEACE

An independent institution established by Congress to strengthen the nation's capacity
to promote peaceful resolution of international conflicts

OFFICE OF THE PRESIDENT
(202) 429-3835 DIRECT

June 15, 2011

The Honorable Tom Coburn, M.D.
U.S. Senate
Washington, D.C. 20510

Via hand delivery and e-mail:

Dear Senator Coburn:

Thank you for your letter inquiring about programs and grants to individuals administered by the U. S. Institute of Peace. Your inquiry referenced the Institute's Annual Grant Competition, the Priority Grant Competition and the Jennings Randolph Senior Fellowship Program. We also have a Jennings Randolph Peace Scholars Program. You asked for information pertaining to the income level of individuals receiving these grants and fellowships.

The Annual Grant Competition and the Priority Grant Competition make grant awards only to institutions, not to individuals.

The Jennings Randolph Senior Fellowships are awarded to scholars, practitioners, policy analysts, and other experts for the opportunity to spend 10 months in residence at the Institute to write about pressing international peace and security challenges. The Peace Scholar Dissertation fellowship is a non-residential scholarship awarded to students enrolled at U.S. universities who are writing doctoral dissertations on topics related to peace, conflict, and international security. The Institute asks the recipients for their salary information. The average salary for senior fellowship recipients during this period was approximately \$100,000. Peace scholars are students writing dissertations and generally have very limited incomes.

No recipient during fiscal years 2007-2010 reported an income of over \$250,000.

Please let me know if you need any additional information, or contact the Institute's Director of Congressional Relations, ♡

Sincerely yours,
Handwritten signature of Richard H. Solomon in black ink.

Richard H. Solomon
President

Small Business Administration

February 3, 2011

Via U.S. Mail and Electronic Mail

The Honorable Karen G. Mills
Administrator
United States Small Business Administration
409 Third Street, S.W.
Washington, D.C. 20416

Dear Administrator Mills:

The United States Small Business Administration ("SBA") provides and guarantees loans and administers other programs that provide important financing for individual Americans and businesses. These loans and programs include:

- **Disaster Loans**, including: Personal Property Disaster Loans; Real Property Disaster Loans; Physical Disaster Loans; Economic Injury Disaster Loans; and Military Reservist Economic Injury Disaster Loans.
- **Small Business Financial Programs**, including: 7(a) Small Business Loans; Prequalification 7(a) Small Business Loans; Certified Development Company/504 Small Business Loans; SBA Express Loans; Community Express Loans; Patriot Express Loans; and Microloans.
- **Special Purpose Loan Guarantees**, including: America's Recovery Capital Loan Program; Export Working Capital Loans; Export Express; International Trade Loans; Community Adjustment and Investment Program; Employee Trusts; Pollution Control Loans; and CAPLines Programs.

For each program listed above, please provide the following information for all individuals or businesses that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, which also had an adjusted gross income of \$1,000,000 or greater: (1) name of individual or business entity; (2) total adjusted gross income for the Fiscal Year in which the payment was made; (3) name of each program the individual or business received payments through; (4) amount of payments received from each program; and (5) total amount of all program payments received during each Fiscal Year.

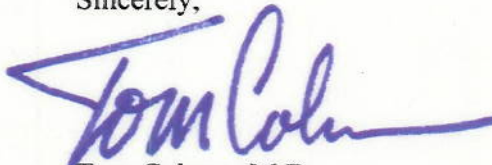
SBA also administers several special contracting programs, including:

- 8(a) Program;
- Small Disadvantaged Businesses;
- Historically Underutilized Business Zones; and
- Service-Disabled Veterans.

With regard to these programs, please provide the following information for all individuals or businesses that participated in these programs during Fiscal Years 2007, 2008, 2009 and 2010, which also had an adjusted gross income of \$1,000,000 or greater: (1) name of individual or business; (2) total adjusted gross income for the Fiscal Year in which the individual or business participated in the program; (3) name of each program the individual or business participated; (4) amount each of contract received by the participant; and (5) total amount of all benefits received during each Fiscal Year.

All information requested above should be produced in an electronic usable format, such as Microsoft Excel. Please provide the above information by February 18, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,



Tom Coburn, M.D.
United States Senator



U.S. SMALL BUSINESS ADMINISTRATION
WASHINGTON, DC 20416

March 31, 2011

The Honorable Tom Coburn, MD
United States Senate
Russell Senate Office Building, Room 172
Washington, DC 20510

Dear Senator Coburn:

This letter is in response to your correspondence dated February 3, 2011. In that letter, you requested information on the adjusted gross income (AGI) of participants in various Small Business Administration (SBA) loan and contracting programs. Because SBA does not examine AGI information for borrowers or contracting program participants, we have worked with your staff over the past few weeks to provide relevant, disclosable information pursuant to your request.

With regard to disaster loans, when deciding whether to underwrite a disaster victim's loan application, SBA examines—among other factors—the borrower's "cash available" to determine their repayment ability.¹ While different than AGI, it does provide information on a loan applicant's financial resources. At your staff's request, we conducted a search of our disaster loan databases to determine the number of disaster loan recipients that showed "cash available" of \$1,000,000 or more. A spreadsheet of those business loans is attached and will be emailed to the relevant member of your staff in Excel format, as requested in your letter.²

Regarding the other SBA programs described in your letter, as stated above, SBA does not maintain or examine AGI (or similar) information for applicants or borrowers in our Financial Assistance programs.³ Similarly, SBA does not maintain or examine AGI (or similar) information for firms or individuals that participate in most of SBA's Federal contracting programs.⁴ Therefore, no "data run" could be made with respect to borrowers/participants in those programs.

¹ An important distinction should be made with regard to disaster loan recipients. Unlike borrowers in our other loan programs, disaster loans may be made to businesses and individuals who—based on their repayment ability—could obtain "credit elsewhere" (i.e., through a conventional bank loan). Although eligible for SBA loans, these "credit elsewhere" borrowers must repay their loans within three years and are also subject to a higher interest rate than SBA's "no credit elsewhere" borrowers.

² Due to applicable privacy laws that protect the personal financial information of SBA borrowers, the list does not include the names of the borrower firms or their owner(s). There were no records of SBA disaster home loans where the applicant showed cash available of \$1,000,000 or more.

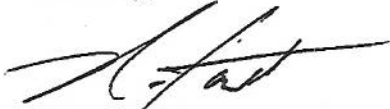
³ Referred to as "Small Business Financial Programs" and "Special Purpose Guaranties" in your letter.

⁴ As we discussed with your staff, SBA does review individual and business tax returns in the 8(a) Business Development Program; however, those returns are not maintained in a readily-available electronic format and would require a file-by-file review of over 6,000 case files maintained in SBA District Offices

The Honorable Tom Coburn, MD
Page 2

We hope these responses satisfy your request, but please feel free to contact me if you have any questions or concerns.

Sincerely,



Nicholas J. Coutsos
Assistant Administrator
Office of Congressional & Legislative Affairs

Attachment

throughout the country. Moreover, individual tax returns would be protected by relevant privacy laws, and business returns would require releases.



U.S. Small Business Administration
Office of Disaster Assistance

Senator Tom Coburn's Request for Individuals or Businesses with Adjusted Gross Income of \$1,000,000 or Greater

Cash Available	Loan Type	Loan Amount	Loan Approval Date
\$1,602,000	Disaster Business Loan	\$1,000,000	11/2/2006
\$1,577,700	Disaster Business Loan	\$1,000,000	11/2/2006
\$1,389,300	Disaster Business Loan	\$83,700	11/15/2006
\$1,197,700	Disaster Business Loan	\$952,900	12/13/2006
\$1,053,900	Disaster Business Loan	\$1,500,000	3/8/2007
\$1,048,700	Disaster Business Loan	\$102,900	4/6/2007
\$1,047,500	Disaster Business Loan	\$11,600	4/6/2007
\$4,372,700	Disaster Business Loan	\$1,500,000	7/17/2007
\$3,872,500	Disaster Business Loan	\$400,000	7/18/2007
\$1,361,100	Disaster Business Loan	\$704,000	12/19/2007
\$1,005,800	Disaster Business Loan	\$820,200	8/2/2008
\$1,188,500	Disaster Business Loan	\$292,100	8/12/2008
\$1,067,800	Disaster Business Loan	\$333,100	10/31/2008
\$1,926,600	Disaster Business Loan	\$946,400	12/3/2008
\$1,081,700	Disaster Business Loan	\$922,700	12/19/2008
\$4,119,100	Disaster Business Loan	\$2,000,000	3/10/2009
\$1,179,800	Disaster Business Loan	\$158,000	4/13/2009
\$1,179,700	Disaster Business Loan	\$377,400	4/14/2009
\$7,489,500	Disaster Business Loan	\$41,000	8/6/2009
\$1,002,300	Disaster Business Loan	\$268,100	9/18/2009
\$2,481,100	Disaster Business Loan	\$2,000,000	12/31/2009
\$1,308,400	Disaster Business Loan	\$570,800	6/10/2010
\$2,647,600	Disaster Business Loan	\$461,200	6/11/2010
\$1,468,100	Disaster Business Loan	\$2,000,000	8/30/2010
\$1,057,300	Disaster Business Loan	\$2,000,000	9/23/2010
\$2,979,300	Disaster Business Loan	\$514,600	12/22/2010

* There were no records for SBA Disaster Home Loans meeting the Adjusted Gross Income of \$1,000,000 or Greater.

Report Date: 3/16/2011

Social Security Administration

February 11, 2011

Via U.S. Mail and Electronic Mail

The Honorable Michael J. Astrue
Commissioner
United States Social Security Administration
6401 Security Boulevard
Baltimore, MD 21235

Dear Commissioner Astrue:

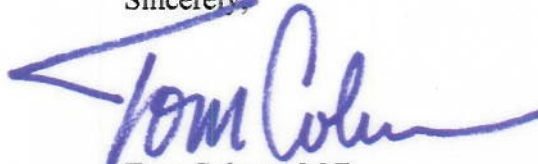
The United States Social Security Administration ("SSA") provides important financial benefits and programs to certain individuals. These programs include:

- Social Security Disability Insurance ("SSDI");
- Supplemental Security Income ("SSI");
- Social Security Retirement Benefits; and
- Social Security Survivors Benefits.

For each program listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, and who also had an adjusted gross income or resources excluded from countable resources of \$1,000,000 or greater: (1) unique identifier; (2) total adjusted gross income and value of excluded resources for the Fiscal Year in which the payment was made; (3) name of each program the recipient received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

All information requested above should be produced in an electronic usable format, such as Microsoft Excel. Please provide the above information by February 25, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,



Tom Coburn, M.D.
United States Senator



SOCIAL SECURITY
Office of the Chief Actuary

March 15, 2011

The Honorable Tom Coburn, M.D.
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Commissioner Michael Astrue asked me to reply on his behalf regarding your letter of February 11, 2011. In that letter, you requested information on individuals who receive Social Security (OASDI) or Supplemental Security Income (SSI) benefits and have income (or, in the case of SSI, excluded resources) of \$1,000,000 or more. I have spoken with [redacted] of your staff and have described to him what information of this type we have available. Due to restrictions on disclosure of personally identifiable information, we cannot provide data for individuals. However, we have compiled aggregated data in a coordinated interoffice effort with the help of SSA's Office of Systems.

Social Security (OASDI) Beneficiaries with Earnings of \$1,000,000 or More

First, we do not have complete data on adjusted gross income for individuals. You would have to contact the IRS for such data. However, as discussed with Andrew, we do have calendar year earned income data. SSA's Office of Systems provided our office with data on persons with earnings of \$1,000,000 or more in 2007, 2008, and 2009. Our office then matched these earnings data against the SSA Master Beneficiary Record (MBR) to identify which of these high earners received an OASDI benefit in January of each year 2007, 2008, and 2009. We do not yet have complete earnings data for 2010, and so could not produce the same analysis for that year.

For calendar year 2007, we identified about 5,800 individuals who received OASDI benefits in January and reported earnings of \$1,000,000 or more. About 59 percent of these individuals were age 70 or older during the year. Upon reaching age 70, workers can no longer earn delayed retirement credits for deferring receipt of benefits. Therefore, we would expect all eligible persons over age 70 to be receiving a benefit. An additional 39 percent of these individuals were ages 66 through 69 during the year. Since 2000, the Social Security retirement earnings test has not applied for individuals after they attain normal retirement age (currently age 66), so even very high earners can receive benefits at those ages. For the entire group of 5,800 individuals, the average monthly OASDI benefit for January 2007 was \$2,050, and the average amount of earnings for 2007 was about \$2.9 million.

For calendar year 2008, we identified about 5,500 individuals who received OASDI benefits in January and reported earnings of \$1,000,000 or more. About 63 percent of these individuals were age 70 or older during the year. For the entire group of 5,500 individuals, the average monthly OASDI benefit for January 2008 was \$2,135, and the average amount of earnings for 2008 was about \$2.8 million.

In calendar year 2009, the economic recession reached its low point, and we identified about 4,400 individuals who received OASDI benefits in January and reported earnings of \$1,000,000 or more. About 64 percent of these individuals were age 70 or older during the year. For the entire group of 4,400 individuals, the average monthly OASDI benefit for January 2009 was \$2,305, and the average amount of earnings for 2009 was about \$2.6 million.

The vast majority of these high earners receiving Social Security benefits were retired worker beneficiaries paid from the OASI Trust Fund. Very few individuals receiving only a spouse or surviving spouse benefit have very high earnings. An extremely small number of these high earners receiving Social Security benefits were below the normal retirement age, where the retirement earnings test applies. These individuals generally had earned income from one-time payments such as income from the exercise of stock options, rather than earnings from current employment.

Supplemental Security Income (SSI) Recipients with Excluded Resources of \$1,000,000 or More

Under the rules for the Supplemental Security Income (SSI) program, recipients may have no more than \$2,000 (\$3,000 for a couple) in countable resources—assets that can be converted to cash for use in the support and maintenance of the SSI recipient. However, certain assets are excluded in determining eligibility, including a home and assets held in certain kinds of trusts. Our office, assisted by SSA's Office of Quality Performance, selected from an extract of SSI administrative data the small number of records for SSI recipients which have indications that excludable assets exceeding \$1,000,000 may exist. However, because excluded assets do not affect benefit eligibility, the value of the asset may not always be recorded. Our best assessment, based on a review of these limited data, is that very few SSI recipients have excluded assets of \$1,000,000 or more. It appears that the few who do are typically disabled recipients whose assets are often held in special needs trusts established for their benefit. Upon the death of these SSI recipients, these trusts repay the State for the costs of medical assistance provided.

We do not have ready access to data on adjusted gross income that would allow us to answer your question about Social Security beneficiaries more fully. In addition, as mentioned above, privacy considerations do not allow us to provide individual-level information. However, the aggregated data we have provide a good indication of the magnitude of the number of OASDI beneficiaries with earned income of \$1,000,000 or more. That number, between 4,000 and 6,000 annually, is only about 0.01 percent of the more than 50 million individuals who currently receive monthly Social Security benefits. The data available to us do not provide a reliable basis for accurately estimating how many of the 8 million SSI recipients have excluded assets of \$1,000,000 or more, but all indications suggest that this number is very small.

If we may be of any further assistance on this or any other matter, please do not hesitate to let us know.

Sincerely,



Stephen C. Goss
Chief Actuary

Department of State

May 4, 2011

Via U.S. Mail and Email

The Honorable Hillary Clinton
Secretary
United States Department of State
2201 C Street, NW
Washington, D.C. 20520

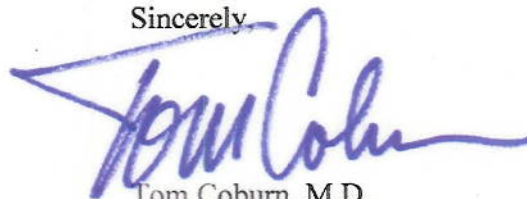
Dear Secretary Clinton:

The Department of State administers a number of important programs and grants to individuals to advance certain interests on behalf of the United States. I am interested in learning more about the recipients of these programs which include, but are not limited to: (1) Fisherman's Guaranty Fund; (2) Educational and Cultural Exchange Programs Appropriation Overseas Grants; (3) General Department of State Assistance; and (4) other grants made to individuals by the Department of State.

I am interested in the individuals that receive funds from these programs and grants. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 18, 2011. If you have any questions, including the format in which the information should be produced, please contact (

Sincerely,



Tom Coburn, M.D.
United States Senator



United States Department of State

Washington, D.C. 20520

SEP 26 2011

Dear Senator Coburn:

Thank you for your letter of May 4 regarding individual beneficiaries of State Department grants and programs who have reported an annual income of \$1,000,000 or greater. We sincerely regret the delay in our response. The Department does not collect information regarding an individual's income in connection with our grants and programs.

We hope this information is helpful. Please do not hesitate to contact us again if we can be of further assistance.

Sincerely,

A handwritten signature in black ink, appearing to read "D. S. Adams", with a long, sweeping flourish extending to the right.

David S. Adams
Assistant Secretary
Legislative Affairs

The Honorable
Tom Coburn,
United States Senate.

Department of Transportation

May 5, 2011

Via U.S. Mail and Email

Mr. Raymond H. LaHood
Secretary
United States Department of Transportation
1200 New Jersey Avenue, SE
Washington, DC 20590

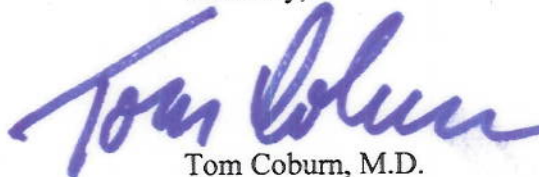
Dear Secretary LaHood:

The Department of Transportation administers a number of programs and grants across a wide spectrum of transportation-related interests. These programs include, but are not limited to: (1) Motor Carrier Research and Technology Grants; (2) Medical Program Grants; (3) Federal Ship Financing Loan Guarantees; (4) Capital Construction Fund Grants; and (5) Construction Reserve Fund Grants.

I am interested in learning more about the individuals that receive funds or benefits through these programs. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 19, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,



Tom Coburn, M.D.
United States Senator



THE SECRETARY OF TRANSPORTATION
WASHINGTON DC 20590

June 13, 2011

The Honorable Tom Coburn
United States Senate
Washington, DC 20510

Dear Senator Coburn:

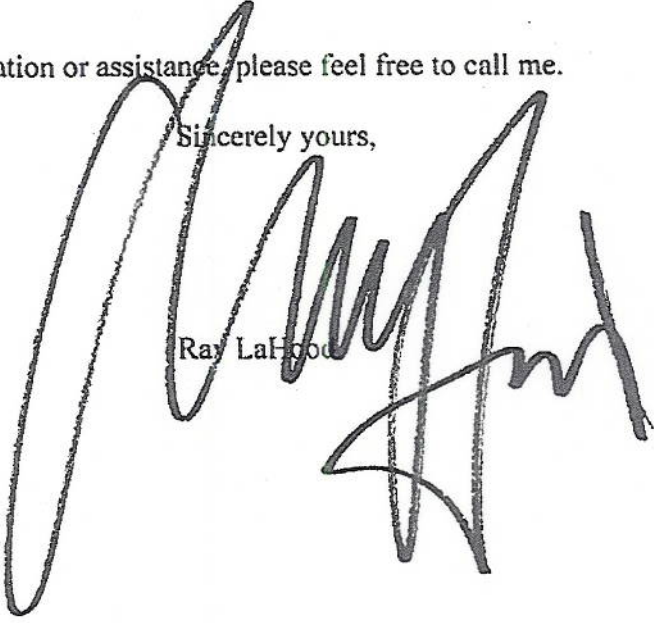
Thank you for your letter requesting information about individuals that receive grant funds through the U.S. Department of Transportation's programs. The Department provides a variety of grants through many of its programs. The programs typically direct discretionary and mandatory grant funds to State and local governments, universities and academic institutions, and in some instances non-profit corporations.

The Department does not provide grants to individuals in the programs listed in your letter: (1) Motor Carrier Research and Technology Grants; (2) Medical Program Grants; (3) Federal Ship Financing Loan Guarantees; (4) Capital Construction Fund Grants; or (5) Construction Reserve Fund Grants.

If I can provide further information or assistance, please feel free to call me.

Sincerely yours,

Ray LaHood

A large, stylized handwritten signature in dark ink, appearing to read 'Ray LaHood', is written over the typed name.

Department of
Veterans Affairs

May 5, 2011

Via U.S. Mail and Email

The Honorable Eric K. Shinseki
Secretary
United States Department of Veterans Affairs
810 Vermont Avenue, NW
Washington, D.C. 20420

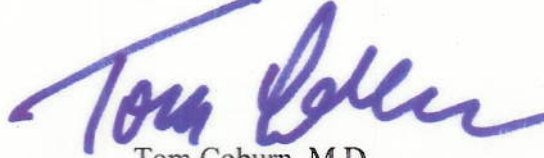
Dear Secretary Shinseki:

The Department of Veterans Affairs administers a number of important programs and services to Americans who served in the Armed Forces. These programs include, but are not limited to: (1) Veterans Compensation for Service-Connected Disability; (2) Veterans Dependency and Indemnity Compensation for Service-Connected Death; (3) Vocational Rehabilitation for Disabled Veterans; (4) Medal of Honor Pension; (5) Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces; (6) Clothing Allowance; (7) Burial Expenses Allowance for Veterans; (8) Post- 9/11 Veterans Educational Assistance; (9) Post-Vietnam Era Veteran's Educational Assistance; (10) All-Volunteer Force Educational Assistance/Montgomery GI Bill Active Duty; (11) Survivors and Dependents Educational Assistance; (12) Specially Adapted Housing for Disabled Veterans; (13) Veterans Housing – Guaranteed and Insured Loans; (14) Veterans Housing – Direct Loans for Certain Disabled Veterans; (15) Veterans Housing – Manufactured Home Loans; (16) Native American Veteran Direct Loan Program; (17) Home Improvements and Structural Alteration Grants; (18) Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida; and (19) Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects.

I am interested in learning more about the individuals that receive funds and benefits from these programs. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of \$1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual; (2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 19, 2011. If you have any questions, including the format in which the information should be produced, please contact:

Sincerely,

A handwritten signature in blue ink that reads "Tom Coburn". The signature is fluid and cursive, with a long horizontal stroke at the beginning.

Tom Coburn, M.D.
United States Senator



THE SECRETARY OF VETERANS AFFAIRS
WASHINGTON
June 24, 2011

The Honorable Tom Coburn, M.D.
United States Senate
Washington, DC 20510

Dear Senator Coburn:

Thank you for your letter requesting information about Veterans, who report incomes of \$1 million or greater, receiving funds and services through programs administered by the Department of Veterans Affairs (VA).

The Veterans Benefits Administration (VBA) determines eligibility for the benefit programs listed in your letter. It does not, however, collect income information from Veterans or other beneficiaries if income is not a factor in determining eligibility for the benefit. VBA's benefit programs for Veterans with disabilities which were incurred in or aggravated by their military service (e.g., compensation, automobile allowance and adaptive equipment, specially adapted housing grants, clothing allowance, dependency and indemnity compensation for survivors, etc.), are not income-based benefits. Income information is only obtained from those Veterans, who are determined by VA to be unemployable as a result of their service-connected disabilities and, therefore, eligible for a higher level of disability compensation. VBA does not have information in its systems on any Veterans or survivors, with incomes of \$1 million or more, receiving VA compensation or related benefits.

VBA's education and vocational rehabilitation programs are also not income-based programs. Under VBA's home loan guaranty program, VA guarantees a portion of loans made by private-sector lending institutions to protect the lender against loss if the Veteran-borrower fails to repay the loan; however, VBA does not maintain the requested income information in its systems.

Income information is obtained for VA's needs-based pension programs. Pension benefits are paid to wartime Veterans with limited income that are either permanently and totally disabled or at least age 65. Death pension is a needs-based benefit paid to eligible dependents of deceased wartime Veterans. The income limits for entitlement to VA pension benefits are determined annually and are tied to the Federal poverty level, far below the \$1 million figure cited in your letter.

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The Honorable Tom Coburn, M.D.

The Veterans Health Administration (VHA) collects income information from certain Veterans to determine their eligibility for health care benefits. Like VBA, VHA does not collect income information from Veterans, if income is not a factor in determining eligibility for a benefit. VHA identified 496 Veterans, who self-reported income of \$1 million or more during the period 2007 to 2010. The enclosure provides information on 75 of these Veterans who receive disability compensation from VA.

I hope this explanation assists you in understanding VA's benefit programs. Thank you for your continued support of our mission.

Sincerely,

A handwritten signature in black ink, appearing to read "Eric K. Shinseki". The signature is fluid and cursive, with a long horizontal stroke at the end.

Eric K. Shinseki

Enclosure

Information in Response to Inquiry from the Honorable Tom Coburn, M.D.

#	BENEFIT	GROSS AWARD	EOD 1	RAD_1	EOD 2	RAD 2	EOD 3	RAD_3	INCOME YEAR
1	COMPENSATION	6,819	08/07/1971	08/06/1973					2007
2	COMPENSATION	2,823	09/01/1965	08/31/1967					2008
3	COMPENSATION	1,835	06/12/1962	06/10/1966					2009
4	COMPENSATION	1,739	06/09/1965	08/31/1991					2010
5	COMPENSATION	1,333	11/19/1952	11/18/1954					2009
6	COMPENSATION	1,333	12/04/1967	10/17/1970					2007
7	COMPENSATION	1,333	01/17/1986	06/30/2006					2008
8	COMPENSATION	1,333	05/06/2002	02/11/2003	09/13/1999	02/25/2000	10/12/2003	12/12/2004	2007
9	COMPENSATION	1,064	04/11/1966	01/19/1970					2007
10	COMPENSATION	1,064	05/10/1951	02/10/1953					2008
11	COMPENSATION	974	07/03/1969	05/01/1971					2009
12	COMPENSATION	941	12/17/1964	09/30/1966					2008
13	COMPENSATION	866	02/20/1970	02/19/1973					2008
14	COMPENSATION	866	08/18/2003	08/17/2007					2007
15	COMPENSATION	845	09/22/1966	04/30/1969	06/23/1975	06/15/1978			2008
16	COMPENSATION	845	03/23/1973	03/31/1993					2010
17	COMPENSATION	845	07/08/1969	05/28/1971					2009
18	COMPENSATION	770	06/01/2001	07/09/2006					2009
19	COMPENSATION	770	04/28/1989	04/30/2009					2010
20	COMPENSATION	770	10/27/1942	06/20/1946					2008
21	COMPENSATION	770	09/08/1960	09/05/1962					2008
22	COMPENSATION	740	03/29/1982	07/01/1982	05/15/2004	10/12/2005			2010
23	COMPENSATION	697	07/26/1974	07/21/1978	05/13/1984	08/01/1993			2008
24	COMPENSATION	644	01/21/1998	01/20/2001	10/17/2005	05/11/2008	08/01/1986	07/31/1990	2007
25	COMPENSATION	637	05/29/1995	04/30/2006	08/27/1979	07/31/1991			2010
26	COMPENSATION	601	09/25/1967	09/22/1969					2008
27	COMPENSATION	541	04/01/1968	10/01/1969					2008
28	COMPENSATION	541	05/18/1964	08/23/1967					2009
29	COMPENSATION	541	01/29/1968	10/21/1969					2009
30	COMPENSATION	541	01/12/1954	01/11/1956					2010
31	COMPENSATION	533	07/05/2001	07/04/2009					2008
32	COMPENSATION	517	01/29/1962	05/28/1965					2007
33	COMPENSATION	475	12/14/1995	09/30/2007					2007
34	COMPENSATION	421	02/14/1968	12/28/1970					2007
35	COMPENSATION	421	05/23/1973	05/31/1993					2009
36	COMPENSATION	421	06/29/1988	06/30/2008					2007
37	COMPENSATION	376	07/30/1969	01/20/1972	10/25/1977	07/31/1999	01/22/1972	10/27/1977	2009
38	COMPENSATION	339	08/12/1969	06/30/1981	03/20/1964	08/11/1969			2008
39	COMPENSATION	243	12/17/1973	11/03/1978					2009
40	COMPENSATION	243	09/14/1966	09/02/1968					2009
41	COMPENSATION	243	11/23/1965	11/12/1967					2008
42	COMPENSATION	243	10/08/2004	01/21/2006	05/22/1985	06/01/1994			2009
43	COMPENSATION	243	02/20/1970	02/01/1972					2008
44	COMPENSATION	243	08/11/1966	08/01/1968					2008
45	COMPENSATION	243	01/11/1973	03/29/1974					2009
46	COMPENSATION	243	09/30/1999	09/29/2005					2009
47	COMPENSATION	243	01/29/1951	01/28/1954					2009
48	COMPENSATION	243	06/27/1963	12/13/1963					2008
49	COMPENSATION	243	06/04/1984	04/28/1988	01/20/1989	06/02/1992			2008
50	COMPENSATION	243	02/27/1967	02/26/1971					2009
51	COMPENSATION	243	06/06/1973	07/31/2000					2008
52	COMPENSATION	243	01/25/1961	08/31/1987					2008
53	COMPENSATION	243	06/24/1968	03/05/1971	10/27/1961	07/26/1967			2007
54	COMPENSATION	243	03/07/1984	03/31/2004					2008
55	COMPENSATION	243	05/30/2004	04/11/2005	07/06/1970	04/20/1973	08/27/1975	12/31/1986	2008

Information in Response to Inquiry from the Honorable Tom Coburn, M.D.

#	BENEFIT	GROSS AWARD	EOD 1	RAD_1	EOD 2	RAD 2	EOD 3	RAD_3	INCOME YEAR
56	COMPENSATION	243	02/23/1963	03/31/1983					2009
57	COMPENSATION	243	08/24/1966	08/22/1969					2007
58	COMPENSATION	123	01/16/1963	07/15/1963					2008
59	COMPENSATION	123	09/06/1943	11/02/1945					2008
60	COMPENSATION	123	05/16/1983	10/10/1987					2008
61	COMPENSATION	123	04/07/1945	12/10/1946					2008
62	COMPENSATION	123	06/28/1975	06/20/1978					2009
63	COMPENSATION	123	10/13/1952	10/12/1954					2007
64	COMPENSATION	123	09/20/1968	06/22/1971					2008
65	COMPENSATION	123	09/29/1976	08/18/1980					2009
66	COMPENSATION	123	09/17/1974	09/16/1978					2009
67	COMPENSATION	123	10/01/2005	08/12/2008	08/01/1990	10/26/2003	07/14/1989	11/16/1989	2009
68	COMPENSATION	123	10/23/1944	03/14/1947					2008
69	COMPENSATION	123	02/04/1944	03/07/1946	03/08/1946	11/26/1947			2009
70	COMPENSATION	123	01/12/1970	01/05/1972					2009
71	COMPENSATION	123	12/18/1974	08/31/1977	12/18/1953				2008
72	COMPENSATION	123	06/11/1973	05/31/1975	06/11/1970	06/10/1973			2007
73	COMPENSATION	123	01/11/1967	12/19/1968					2008
74	COMPENSATION	123	08/24/1977	08/21/1981	09/24/1974	08/23/1977			2009
75	COMPENSATION	123	01/07/1991	01/31/2011					2010