Department of Housing and Urban Development

COMMITTEE ON INTELLIGENCE

COMMITTEE ON INDIAN AFFAIRS

COMMITTEE ON HOMELAND SECURITY AND GOVERNMENT AFFAIRS

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United States Senate

Senator Tom Coburn, MD

COMMITTEE ON HEALTH, EDUCATION, LABOR, AND PENSIONS

COMMITTEE ON THE JUDICIARY

RANKING MEMBER
SUBCOMMITTEE ON THE CONSTITUTION

February 18, 2011

Via U.S. Mail and Electronic Mail (

The Honorable Shaun Donovan
Secretary
United States Department of Housing and Urban Development
451 Seventh Street, S.W.
Room 10000
Washington, D.C. 20410-1000

Dear Secretary Donovan:

I am interested in obtaining information on certain programs administered by the United States Department of Housing and Urban Development ("HUD") and would appreciate your assistance. HUD provides a number of programs and services that assist individuals in financing, renting, and maintaining a home. These programs include, but are not limited to:

- Single Family Federal Housing Administration ("FHA") Insured Mortgage programs, including: Section 203(b) Insured Mortgage; Section 203(h) Insured Mortgage for Disaster Victims; Section 255 Home Equity Conversion Mortgage ("HECM"); Section 203(k) Rehabilitation Mortgage; Energy-Efficient Mortgage Program ("EEM"); Adjustable Rate Mortgages; Section 248 Indian Reservations and Other Restricted Lands; Indian Home Loan Guarantee Program (Section 184); Manufactured Home Lot and Combination Loan Insurance (Title I); Manufactured Home Loan Insurance (Title I); and Title I Home Improvements.

- Multi-family FHA Insured Mortgage programs, including: Rental Housing (Section 207); Manufactured Home Parks (Section 207); Cooperative Units (Section 213); Rental Housing for Urban Renewal and Concentrated Development Areas (Section 220); Rental and Cooperative Housing (Section 221(d)(3) and (4)); Two-Year Operating Loss Loans (Section 223(d)); Purchase or Refinancing of Existing Multifamily Housing Projects (Section 207/223(f)); Rental Housing for the Elderly (Section 231); Nursing Homes, Board and Care and Assisted Living Facilities (Section 232/223(f)); Supplemental Loan Insurance for Multifamily Rental Housing (Section 241(a)); Qualified Participating Entities Risk-Sharing Program (Section 542(b)); and Housing Finance Agency Risk-Sharing Program (Section 542(c)).

- Hope for Homeowners;

- Healthy Home and Lead Hazard Reduction Program; and

- HUD's Doctoral Dissertation Research Grant Program.

Please provide the following information for all individuals or entities that received any benefits from the above listed programs during fiscal years 2007, 2008, 2009, and 2010, who also reported an adjusted gross income of $1,000,000 or greater: (1) name of recipient or entity; (2) total adjusted gross income for the year(s) in which the individual or entity received benefits; (3) name of each program the individual or entity received benefits through; (4) amount of benefits received from each program; and (5) total amount of benefits received during each year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by March 4, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,

Tom Coburn, M.D.
U.S. Senator

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-1000

ASSISTANT SECRETARY FOR CONGRESSIONAL
AND INTERGOVERNMENTAL RELATIONS

The Honorable Tom Coburn
United States Senate
Washington, D.C. 20510-3604

MAR 25 2011

Dear Senator Coburn:

I am writing in response to your letter to Housing and Urban Development (HUD) Secretary Shaun Donovan of February 18, 2011. As you are probably aware, although adjusted gross income data is generally not collected with respect to HUD programs, those programs are generally targeted at low and moderate income Americans. For example, the latest statistical information on the HUD user website estimates that the average household income for those participating in HUD programs as of 2008 was $12, 800. With respect to the specific HUD activities referenced in your letter:

Single Family Federal Housing Administration (FHA) Insured Mortgage Programs (including Hope for Homeowners): These FHA programs are not grants or subsidies, but instead consist of mortgage insurance obtained from FHA by lenders so that borrowers can purchase houses or refinance loans on their homes; lenders are reimbursed by the borrowers, through the loan documents, for the mortgage insurance premiums. Congress does not place income limits on these programs, but instead sets statutory mortgage limits that determine the maximum mortgage amounts that FHA insures. For example, with respect to the section 203(b) and section 203(k) programs, beginning in 2008, Congress raised the limit to $729,750 for forward purchase mortgages and $417,000 for home equity conversion mortgages, which may well have resulted in an increase in the income of those who have recently participated in these programs; HUD has recommended that the increase in these limits not be renewed when they expire on September 30, 2011. The adjusted gross income of borrowers is not collected by FHA, and the effective monthly income information that is available is not necessarily able to be extrapolated to determine annual adjusted gross income. Because of the payments that are made to FHA, the agency is projected to generate approximately $9.8 billion in receipts for the taxpayer in FY 2011.

Multi-family FHA Insured Mortgage Programs: These programs provide insurance on financing for the development, acquisition and refinancing of rental housing intended primarily to serve low and moderate income families. Again, HUD does not provide grants or subsidies under these programs, but debt financing is provided by FHA-approved lenders to project sponsors. The lenders pay FHA a mortgage insurance premium for the FHA insurance so that, in the event of owner default on a loan, the lender can foreclose and convey a project to HUD or assign the loan to HUD in exchange for the FHA insurance claim payment. Although the programs do not limit the income of residents, due to statutory mortgage limitations, the design and amenities of housing financed under these programs are generally modest, thereby limiting the rent potential sp they can be affordable to households at lower to moderate income levels. The Department does not collect adjusted gross income data on individuals or entities that purchase insurance under the requested programs. Projects developed with financing insured under the Department's multifamily programs generally are owned by single asset entities that file annual financial statements reflecting project operational costs. This financial statement does not provide information with respect to how cash flow generated by projects may be distributed by an ownership entity to individuals or entities comprising project ownership. Therefore, a conclusion regarding the adjusted gross income of these individuals or entities cannot be made.

Healthy Home and Lead Hazard Reduction(HHLHC) and Doctoral Dissertation Research Grant (DDRG) Programs: Individuals are not permitted to apply for HHLHC grants, which go to states, cities, Native American Tribes, other units of local government, and nongovernment organizations, which must use the funds to prevent and correct significant housing-related health and safety hazards in low-income housing. Individuals are similarly not permitted to apply for grants from the DDRG program, under which eligible applicants are institutions of higher education that sponsor doctoral students to complete and improve the quality of their dissertations on policy relevant to housing and urban development issues. The entities receiving grant funds under these programs do not report adjusted gross income to HUD.

I trust that this letter will answer your inquiry. Thank you for your interest in the Department's programs and activities.

Sincerely,

Peter A. Kovar

Peter A. Kovar
Assistant Secretary for Congressional
and Intergovernmental Relations

Department of the Interior

May 5, 2010

<u>Via U.S. Mail and Email (</u>

Mr. Ken Salazar
Secretary
United States Department of Interior
1849 C Street, NW
Washington, DC 20240

Dear Secretary Salazar:

The Department of Interior administers a number of programs and grants across a wide spectrum of interests. These programs include, but are not limited to:

Programs for Native Americans: Indian Education Higher Education Grant Program and Indian Housing Assistance.

Resource Management Grants and Cooperative Agreements: Cultural Resource Management; Recreation Resource Management; Wild Horse and Burro Resource Management; Fish, Wildlife, and Plant Conservation Resource Management; Forest and Woodlands Resource Management; Environmental Quality and Protection Resource Management; Rangeland Resource Management; Coastal Program; Alaska Subsistence Management; and Habitat Enhancement, Restoration, and Improvement (Recovery Act Funds).

Other Programs for Specific Purposes: Wildland Fire Research and Studies Program; Challenge Cost Share; Management Initiatives; National Park Service Centennial Challenge; Keweenaw National Historical Park Enhancement Grants; Colorado River Basin Salinity Control Program; Lower Colorado River Multi-Specifies Conservation Program; Neotropical Migratory Bird Conservation; National Outreach and Communication Program; Visitor Facility Enhancements – Vehicle Pullouts, Interpretive Trails, and Kiosks; Undesirable/Noxious Plant Species; National Trails System Projects; Endangered Species – Candidate Conservation Action Funds; National Fish and Wildlife Foundation Grants; and National Resource Stewardship Program.

I am interested in learning more about the individuals that receive benefits through these programs. For the programs listed above, please provide the following information for all individuals that received any payment from these programs during Fiscal Years 2007, 2008, 2009 and 2010, who also reported income of $1,000,000 or greater through any agency measurement of income (such as Adjusted Gross Income): (1) name of individual;

(2) total reported income for the Fiscal Year in which the payment was made; (3) name of each program the individual received benefits through; (4) amount of benefits received from each program; and (5) total amount of all program benefits received during each Fiscal Year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by May 19, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,

Tom Coburn, M.D.
United States Senator

United States Department of the Interior

OFFICE OF THE SECRETARY
Washington, DC 20240

JUN 0 9 2011

The Honorable Tom Coburn
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

This responds to your letter of May 5, 2010. The Secretary has asked that I respond with the information you requested on his behalf.

Your letter requested information about individuals that receive benefits through programs administered by the Department of the Interior. Specifically you requested information about individuals that received financial assistance (grants and cooperative agreement) awards for Fiscal Years 2007-2010. We are able to provide information that is responsive to most of the items you requested as shown in the enclosure. We are sending this information to Mr. on your staff in an electronic usable format.

The following explains the information we provide to respond to your request.

(1) Name of the individual. Per your request, we provide the recipient of the financial assistance award.
(2) Reported income for Fiscal Year in which the payment was made. We are not able to provide this information as we do not collect data on the income level of recipients.
(3) Name of each program the individual received benefits through. Per your request, we provide the name of the bureau and program for each award.
(4) Amount of benefits received from each program. Per your request, we provide the amount of the award.
(5) Total amount of all program benefits received during each Fiscal Year. Per your request, we provide the fiscal year for each amount received by recipients.

If you have any questions on this information, please contact our Office of Acquisition and Property Management. is our Financial Assistance Program Manager and can assist you. She can be reached at)r by e-mail at

Sincerely,

Rhea Suh
Assistant Secretary – Policy, Management and Budget

Enclosure

RECIPIENT NAME	BUREAU	PROGRAM NAME	CFDA NUMBER	FEDERAL AMOUNT	NON-FEDERAL AMOUNT	FISCAL YEAR
Erick Christian Hansen	BOR	Water Conservation Field Services Program	15.530	$386,218.00	$0.00	2008
Waring Gwen	USGS	Research and Data Collection	15.808	$20,000.00	$0.00	2008
Jack Oar	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$7,412.00	$7,100.00	2008
William McCann	USGS	Earthquake Hazards Reduction Program	15.807	$46,494.00	$0.00	2009
Michael R & Debra J Aubin	NPS	Cultural Resource Management	15.AAW	$6,000.00	$24,000.00	2008
Chris and Abbey Green	NPS	Cultural Resource Management	15.AAW	$3,000.00	$21,000.00	2009
Charles Rastello	NPS	Keweenaw National Historic Park and Keweenaw NHP Advisory Commission Partner	15.407	$2,000.00	$3,000.00	2010
Greg Schmitt	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$8,000.00	$2,915.00	2008
Dan Jordan	BLM	Recreation Resource Management	15.225	$5,000.00	$0.00	2008
Vard R. Miller	BOR	Fish and Wildlife Coordination Act	15.517	$46,775.00	$70,233.00	2009
Carl Gregory Schmidt	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$18,000.00	$18,677.00	2007
Greg Schmidt	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$7,287.00	$4,007.00	2007
Ralph Rogers	BLM	Challenge Cost Share	15.238	$8,000.00	$14,470.00	2009
JORDAN, DANIEL S.	BLM	Recreation Resource Management	15.225	$5,000.00	$0.00	2010
PAUL W. SHERMAN	BLM	Challenge Cost Share	15.238	$9,000.00	$0.00	2010
ROBERT MEINKE	BLM	Challenge Cost Share	15.238	$15,400.00	$0.00	2010
ROBERT MEINKE	BLM	Challenge Cost Share	15.238	$14,000.00	$0.00	2010
CURRIN, REBECCA	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$9,000.00	$0.00	2010
CURRIN, REBECCA	BLM	Fish, Wildlife and Plant Conservation Resource Management	15.231	$9,000.00	$0.00	2010
LELA, ASHA	BLM	Challenge Cost Share	15.238	$6,000.00	$0.00	2010
John Patrick Hudson	FWS	Challenge Cost Share	15.642	$3,600.00	$0.00	2009
Robert Armstrong	FWS	Challenge Cost Share	15.642	$1,600.00	$14,562.00	2009
Caroline Funk	FWS	Challenge Cost Share	15.642	$17,789.00	$33,875.00	2009
Jim White	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$23,250.00	$0.00	2009
C.R. Evans	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$25,000.00	$15,870.00	2009
Greag Bulkley and Jacqueline Graham	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$30,000.00	$27,375.00	2009
Jeff Kuhse	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$12,000.00	$0.00	2009
David and Diane Bilderback	FWS	Challenge Cost Share	15.642	$10,900.00	$11,400.00	2009
Joe and Kristi Cumming	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$103,000.00	$0.00	2009
Mike and Kathy Bravard	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,000.00	$0.00	2009
Edward Brigham	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,500.00	$0.00	2009
Dr. Art Golin	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,900.00	$0.00	2009
Michele Adams	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,800.00	$0.00	2009
Harlan Tipton	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2009
Dave LoPresto	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,500.00	$0.00	2009
Matt Bortoli	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$6,000.00	$0.00	2009
John McBride	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2009
Keith and Jean Walker	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2009
Mary Trewartha	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$6,000.00	$0.00	2009
Doug and Julie Hodgdon	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,000.00	$0.00	2009
Ken Rue	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$7,500.00	$0.00	2009
Rick Mueller	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,000.00	$0.00	2009
Charles Hillebrand	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,500.00	$0.00	2009
Patricia Manigault	FWS	Challenge Cost Share	15.642	$8,000.00	$0.00	2009

	FWS	Challenge Cost Share	15.642	$5,000.00	$7,000.00	2009
Tim Stracka	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$15,000.00	$0.00	2009
John Hudson	FWS	Challenge Cost Share	15.642	$2,640.00	$5,270.00	2009
Laverne and Colleen Arndt	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,000.00	$0.00	2010
Madeline and Steve Morgan	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$7,500.00	$0.00	2010
Mark Ingham	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$6,000.00	$0.00	2010
Mike Taylor	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$12,500.00	$0.00	2010
Tom Glover	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$7,500.00	$0.00	2010
Ron Wright	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$25,000.00	$0.00	2010
Mr. Harlan Tipton	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$12,000.00	$0.00	2010
Mr.Brendan Walsh	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$10,000.00	$0.00	2010
Jim White	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$1,750.00	$0.00	2010
Michele Adams	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,000.00	$0.00	2010
Tom Glover	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,000.00	$0.00	2010
David Gollon	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$15,000.00	$0.00	2010
David Piggott	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$11,492.00	$0.00	2010
Todd Bryde	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$11,600.00	$0.00	2010
Tom Mohs	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,854.00	$0.00	2010
Phillip M. Thompson	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$9,600.00	$0.00	2010
Sean Godfrey	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$18,008.00	$0.00	2010
Fred and Maryann Reeves	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,000.00	$0.00	2010
James Frederick	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2010
Jim and Julie DeYoung	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,000.00	$0.00	2010
Mark Vinson	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$25,000.00	$0.00	2010
James Rypkema	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$7,000.00	$0.00	2010
James Wilde	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,619.00	$0.00	2010
Alfred Lehmkuhl	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$10,800.00	$0.00	2010
Charles Sherman	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,000.00	$0.00	2010
Tom Wedel	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$14,909.00	$0.00	2010
Bob Ransom	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,000.00	$0.00	2010
John Raymond	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,000.00	$0.00	2010
Ryan Thompson	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,500.00	$0.00	2010
William Parfet	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$23,200.00	$0.00	2010
Ed Patterson	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,000.00	$0.00	2010
Marvin Daglow	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$10,000.00	$0.00	2010
Curtis Lanxton and Eric Busch	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2010
Robert Dulude	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,000.00	$0.00	2010
David Bracey	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,500.00	$0.00	2010
Ann Geddes	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,000.00	$0.00	2010
David Randall	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,000.00	$0.00	2010
Dennis Leaf	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$13,500.00	$0.00	2010
Don Kluck	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$16,092.00	$0.00	2010
Ed Jonaitis	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$6,000.00	$0.00	2010
Doug and Julie Hodgdon	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$10,000.00	$0.00	2010
Gregory G. Lee	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$20,000.00	$0.00	2010
Jerry Augsburger	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$8,000.00	$0.00	2010
Mr. Bruce Guthrie and Mark Herzog	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$3,500.00	$0.00	2010

[illegible]	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	[illegible]	$0.00	2010
Richard Otto	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$20,000.00	$0.00	2010
Richard Otto	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$20,000.00	$0.00	2010
Steve Ewers	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$4,300.00	$0.00	2010
Doug and Julie Hodgdon	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$2,200.00	$0.00	2010
Linda L. Beckham	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$5,288.00	$0.00	2010
Matthew A. Williams	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$919.00	$0.00	2010
Ronald Sommerdorf	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$25,000.00	$0.00	2010
Wayne Larson	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$11,500.00	$0.00	2010
Diana Immel	FWS	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement	15.656	$28,260.00	$0.00	2010
Heather Ostle	FWS	National Outreach and Communication Program	15.653	$5,000.00	$1,250.00	2010
Wilfredo Ruiz-Feliciano	FWS	Coastal Program	15.630	$8,365.00	$0.00	2010
Wilfredo Ruiz-Vargas	FWS	Coastal Program	15.630	$8,364.00	$0.00	2010
Ivan Montalvo	FWS	Coastal Program	15.630	$4,134.00	$0.00	2010
Mr. Artemio Valentin-Fiueroa	FWS	Coastal Program	15.630	$1,989.00	$0.00	2010
Charlie Williams	BIA	Indian Housing Assistance	15.141	$63,500.00		2010
Andrea Larney	BIA	Indian Housing Assistance	15.141	$84,000.00		2010

United States Department of the Interior

OFFICE OF THE SECRETARY
Washington, D.C. 20240

The Honorable Tom Coburn
United States Senate
Washington, D.C. 20510

OFFICIAL
FILE COPY

Dear Senator Coburn:

This responds to your letter of May 5, 2010. The Secretary has asked that I respond with the information you requested on his behalf.

Your letter requested information about individuals that receive benefits through programs administered by the Department of the Interior. Specifically you requested information about individuals that received financial assistance (grants and cooperative agreement) awards for Fiscal Years 2007-2010. We are able to provide information that is responsive to most of the items you requested as shown in the enclosure. We are sending this information to Mr. I your staff in an electronic usable format.

The following explains the information we provide to respond to your request.

(1) Name of the individual. Per your request, we provide the recipient of the financial assistance award.
(2) Reported income for Fiscal Year in which the payment was made. We are not able to provide this information as we do not collect data on the income level of recipients.
(3) Name of each program the individual received benefits through. Per your request, we provide the name of the bureau and program for each award.
(4) Amount of benefits received from each program. Per your request, we provide the amount of the award.
(5) Total amount of all program benefits received during each Fiscal Year. Per your request, we provide the fiscal year for each amount received by recipients.

If you have any questions on this information, please contact our Office of Acquisition and Property Management. / s our Financial Assistance Program Manager and can assist you. She can be reached at , or by e-mail at

Sincerely,

Rhea Suh
Assistant Secretary – Policy, Management and Budget

Enclosure

OFFICE	PAM
SURNAME	RBender for D. Sanders
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COPY FOR THE SECRETARY'S OFFICE

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SURNAME C.Marcau
DATE 5/25/11
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The Honorable Tom Coburn
United States Senate
Washington, DC 20510
Dear Senator Coburn:

Thank you for your letter of May 5, 2011, requesting information on individual recipients of Department of the Interior (DOI) financial assistance. We are pleased to provide you a list of individuals that received financial assistance (grants and cooperative agreements) award funds for Fiscal Years 2007 – 2010. DOI does not collect data on the gross income level of individuals. As requested, an Excel spreadsheet is provided utilizing data extracted from the Federal Assistance Award Data System which contains the following information:

Name of the bureau awarding funds
Award Number
Type of Action
Federal Award Amount
Non-Federal Amount
Award Start Date
Award End Date
Assistance Type
Fiscal Year
Fiscal Quarter
Obligation Date
Catalog of Federal Domestic Assistance Number
Recipient Name
Recipient Type
City
County
State
Congressional District
Principle Place of Performance
Project Name/Project Description

The
~~An electronic version of this~~ spreadsheet will be provided to Mr. of your staff. If you or your staff has any questions, please contact DOI Financial Assistance Program Manager, at or by e-mail at

Sincerely,

Rhea S. Suh
Assistant Secretary – Policy, Management and Budget

Enclosure

United States Department of the Interior

OFFICE OF THE SECRETARY
WASHINGTON, D.C. 20240

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OFFICE	DASPP
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The Honorable Tom Coburn
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

This responds to your letter of May 5, 2010. The Secretary has asked that I respond with the information you requested on his behalf.

Your letter requested information about individuals that receive benefits through programs administered by the Department of the Interior. Specifically you requested information about individuals that received financial assistance (grants and cooperative agreement) awards for Fiscal Years 2007-2010. We are able to provide information that is responsive to most of the items you requested as shown in the enclosure. We are sending this information to Mr. / ɔn your staff in an electronic usable format.

The following explains the information we provide to respond to your request.

(1) Name of the individual. Per your request, we provide the recipient of the financial assistance award.
(2) Reported income for Fiscal Year in which the payment was made. We are not able to provide this information as we do not collect data on the income level of recipients.
(3) Name of each program the individual received benefits through. Per your request, we provide the name of the bureau and program for each award.
(4) Amount of benefits received from each program. Per your request, we provide the amount of the award.
(5) Total amount of all program benefits received during each Fiscal Year. Per your request, we provide the fiscal year for each amount received by recipients.

If you have any questions on this information, please contact our Office of Acquisition and Property Management. is our Financial Assistance Program Manager and can assist you. She can be reached at or by e-mail at .

Sincerely,

Rhea Suh
Assistant Secretary
Policy, Management and Budget

Enclosure

Haze, Pam K

From: Sonderman, Debra E
Sent: Friday, May 27, 2011 5:32 PM
To: Haze, Pam K; Bender, Kathryn L
Subject: RE: resposne to Coburn

I think we were concerned that we could not provide all that he was asking for, and that's why we threw in other things in the report we ran. It makes sense to take out all of those other things and just narrow it down to the 4 or 5 data elements that cover what he asked for. Do you want us to re-do the spreadsheet?

From: Haze, Pam K
Sent: Friday, May 27, 2011 5:18 PM
To: Sonderman, Debra E; Bender, Kathryn L
Subject: resposne to Coburn

I'm reviewing the response to Senator Coburn with the financial assistance grants data from 2007 through 2010. Nice job and thank you for doing this. Now for my question/supposition – should we provide more information than necessary?

Here is why I ask. The request from Coburn asks for:

- Name of individual;
- Reported income – we won't provide that;
- Name of program – based on your spreadsheet this would be bureau;
- Amount of benefits – that would be the Federal amount and non-Federal amount;
- Amount of all program benefits received during each fiscal year – this Coburn's staff can figure out with the data you give them.

What I don't think we need to provide is the following: award number, type of action, start and end date, assistance type, fiscal year, obligation, recipient type, city, county, state code, congressional district, place of performance and description. I am very concerned about the description knowing their proclivity for poking at projects that they do not think are meritorious. For example the Rock Art Inventory.

Thoughts?? Let me know what you think – I will hold the package here until I hear back from you. htanks

Internal Revenue Service

COMMITTEE ON INTELLIGENCE
COMMITTEE ON INDIAN AFFAIRS
COMMITTEE ON HOMELAND SECURITY AND GOVERNMENT AFFAIRS
RANKING MEMBER
PERMANENT SUBCOMMITTEE ON INVESTIGATIONS

United States Senate

Senator Tom Coburn, MD

COMMITTEE ON HEALTH, EDUCATION, LABOR, AND PENSIONS
COMMITTEE ON THE JUDICIARY
RANKING MEMBER
SUBCOMMITTEE ON THE CONSTITUTION

February 3, 2011

Via U.S. Mail and Electronic Mail (

The Honorable Douglas H. Shulman
Commissioner of Internal Revenue Service
United States Department of the Treasury
1111 Constitution Avenue, N.W.
Washington, D.C. 20224

Dear Commissioner Shulman:

According to the Internal Revenue Service ("IRS") website, the IRS collects certain data on the income that individuals report on their tax returns. This data includes individuals that report an adjusted gross income of $1,000,000 or greater and also collect unemployment compensation or social security benefits. For example, IRS data from 2008 estimates that a total of 2,840 individuals with an adjusted gross income of $1,000,000 or more received unemployment compensation.[1]

Please provide the following information for all individuals that reported receiving any form of unemployment compensation or social security benefits during tax years 2006, 2007, 2008, and 2009, who also reported an adjusted gross income of $1,000,000 or greater: (1) unique identifier for each recipient; (2) reported occupation; (3) state of residence; (4) total adjusted gross income for the year(s) in which the individual received unemployment compensation or social security benefits; (5) name of each program the individual received benefits through; (6) amount of benefits received from each program; and (7) total amount of all unemployment compensation or social security benefits received during each year. This information should be produced in an electronic usable format, such as Microsoft Excel.

Please provide the above information by February 18, 2011. If you have any questions, including the format in which the information should be produced, please contact

Sincerely,

Tom Coburn

Tom Coburn, M.D.
U.S. Senator

[1] Internal Revenue Service, SOI Tax Stats - Individual Statistical Tables by Size of Adjusted Gross Income, Table 1.4: All Returns: Sources of Income, Adjustments, and Tax Items, by Size of Adjusted Gross Income, Tax Year 2008, http://www.irs.gov/taxstats/indtaxstats/article/0,,id=96981,00.html.

1800 South Baltimore
Suite 800
Tulsa, OK 74119
Phone: 918-581-7651

Russell Senate Office Building, Room 172
Washington, DC 20510-3604
Phone: 202-224-5754
Fax: 202-224-6008

100 North Broadway
Suite 1820
Oklahoma City, OK 73102
Phone: 405-231-4941

www.coburn.senate.gov

DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE
WASHINGTON, D.C. 20224

COMMISSIONER

February 22, 2011

The Honorable Tom Coburn
United States Senate
Washington, DC 20510

Dear Senator Coburn,

Thank you for your letter of February 3, 2011, related to high income individuals who collect unemployment compensation or social security benefits. Because of the statutory privacy restrictions placed on the IRS under Section 6103 of the Internal Revenue Code, we are not able to respond directly with the information that you requested, in the format that you requested. Specifically we are not able to provide information at an individual level, even if the individual is not identified by name. However, I remain committed to providing whatever information we can to support your oversight activities in other ways - such as through statistical or other analyses. I have asked IRS staff to follow up with your office to offer whatever assistance we can provide.

If I can be of further assistance, please contact me, or I am told that your staff is already in touch with [redacted] set up further discussions about what information we can provide.

Sincerely,

Douglas H. Shulman

Filers Reporting Unemployment Compensation and Adjusted Gross Income of $1M or More

State Reported on F1040	Tax Year 2006	2007	2008	2009
Alabama	*	*	*	*
Alaska	*	*	*	*
Arizona	17	*	15	12
Arkansas	*	*	*	*
California	454	526	569	494
Colorado	20	18	18	19
Connecticut	72	79	143	148
Delaware	*	*	*	*
District of Columbia	*	*	*	*
Florida	87	87	72	90
Georgia	13	20	18	17
Hawaii	*	*	*	*
Idaho	*	*	*	*
Illinois	91	136	161	141
Indiana	14	15	16	14
Iowa	*	13	*	*
Kansas	*	*	11	13
Kentucky	*	10	*	*
Louisiana	14	*	*	*
Maine	*	*	*	*
Maryland	28	19	21	19
Massachusetts	114	130	110	143
Michigan	19	32	22	26
Minnesota	22	22	25	25
Mississippi	10	*	*	*
Missouri	*	*	21	*
Montana	*	*	*	*
Nebraska	*	*	*	*
Nevada	11	17	21	12
New Hampshire	*	*	*	10
New Jersey	164	217	328	251
New Mexico	*	*	*	*
New York	263	375	661	493
North Carolina	11	32	20	19
North Dakota	*	*	*	*
Ohio	21	21	37	12
Oklahoma	*	*	*	*
Oregon	13	12	18	17
Pennsylvania	100	114	126	125
Rhode Island	21	17	*	12
South Carolina	*	*	10	10
South Dakota	*	*	*	*
Tennessee	14	19	10	20
Texas	70	67	60	74
Utah	*	*	*	12
Vermont	*	*	*	*
Virginia	20	16	13	18
Washington	34	42	46	42
West Virginia	*	*	*	*
Wisconsin	44	21	27	16
Wyoming	*	*	*	*
Other/Blank	*	*	11	12
Total Number of Filers	***1,850***	***2,182***	***2,695***	***2,383***

Notes:
IRS does not report data where the number of Taxpayers are less than 10.
Cells with less than 10 observations are represented with an asterisks.
The above data are for taxpayers filing a Tax Year 2009 Tax Return

Filers Reporting Taxable Social Security Income and Adjusted Gross Income of $1M or More

State Reported on F1040	Tax Year 2006	2007	2008	2009
Alaska	60	71	52	44
Alabama	507	502	379	200
Arizona	220	229	176	112
Arkansas	1,021	973	588	327
California	7,207	7,371	4,943	3,307
Colorado	780	875	565	330
Connecticut	872	951	613	414
District of Columbia	191	210	150	100
Delaware	182	185	117	72
Florida	6,593	6,577	3,838	2,371
Georgia	1,052	1,030	631	397
Hawaii	163	172	118	60
Iowa	189	184	151	108
Idaho	256	201	114	65
Illinois	1,967	2,113	1,366	805
Indiana	428	466	289	182
Kansas	229	276	231	114
Kentucky	283	319	219	172
Louisiana	485	489	533	257
Massachusetts	1,073	1,255	783	497
Maryland	819	938	534	369
Maine	137	129	82	63
Michigan	786	816	502	333
Minnesota	573	623	416	265
Missouri	546	601	571	239
Mississippi	217	213	173	114
Montana	134	122	100	47
North Carolina	1,039	944	570	348
North Dakota	41	53	57	45
Nebraska	159	174	128	80
New Hampshire	184	216	129	69
New Jersey	1,327	1,409	1,027	667
New Mexico	204	246	161	80
Nevada	839	810	512	328
New York	4,082	4,457	2,906	1,852
Ohio	873	892	610	381
Oklahoma	406	446	399	207

Filers Reporting Gross Social Security Income and Adjusted Gross Income of $1M or More

State Reported on F1040	Tax Year 2006	2007	2008	2009
Alaska	60	71	52	44
Alabama	507	502	379	200
Arizona	220	229	176	112
Arkansas	1,021	972	588	327
California	7,207	7,370	4,943	3,306
Colorado	780	875	565	330
Connecticut	872	951	613	414
District of Columbia	191	210	150	100
Delaware	182	185	117	72
Florida	6,593	6,576	3,836	2,370
Georgia	1,052	1,030	631	397
Hawaii	163	172	118	60
Iowa	189	184	151	108
Idaho	256	201	114	65
Illinois	1,967	2,113	1,367	805
Indiana	428	466	289	182
Kansas	229	276	231	114
Kentucky	283	319	219	172
Louisiana	485	489	533	257
Massachusetts	1,073	1,255	784	497
Maryland	819	938	534	369
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Michigan	786	816	502	333
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Missouri	546	601	571	239
Mississippi	217	213	173	114
Montana	134	122	100	47
North Carolina	1,039	944	570	348
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New Jersey	1,327	1,409	1,026	667
New Mexico	204	246	161	80
Nevada	839	810	512	328
New York	4,082	4,457	2,905	1,851
Ohio	873	892	610	381
Oklahoma	406	446	399	206

Oregon	484	500	319	154	***Oregon***	484	500	319	154
Pennsylvania	1,306	1,418	1,001	679	***Pennsylvania***	1,306	1,418	1,001	679
Rhode Island	112	136	87	54	***Rhode Island***	112	136	87	54
South Carolina	565	546	309	197	***South Carolina***	565	546	309	197
South Dakota	110	96	96	43	***South Dakota***	110	96	96	43
Tennessee	636	645	395	265	***Tennessee***	636	644	395	265
Texas	3,282	3,618	3,029	1,550	***Texas***	3,282	3,618	3,029	1,550
Utah	274	287	156	103	***Utah***	274	287	156	103
Virginia	1,010	1,034	677	482	***Virginia***	1,011	1,034	677	482
Vermont	98	104	51	35	***Vermont***	98	104	51	35
Washington	1,215	1,263	834	440	***Washington***	1,215	1,263	834	440
Wisconsin	519	565	375	240	***Wisconsin***	519	565	375	240
West Virginia	71	84	69	52	***West Virginia***	71	84	69	52
Wyoming	199	235	146	86	***Wyoming***	199	235	146	86
Other/Blank	152	172	131	73	***Other/Blank***	153	177	131	75
Total Number of Filers	***46,157***	***48,241***	***32,408***	***19,874***	***Total Number of Filers***	***46,159***	***48,242***	***32,406***	***19,872***

Notes:
IRS does not report data where the number of Taxpayers are less than 10.
Cells with less than 10 observations are represented with an asterisks.
The above data are for taxpayers filing a Tax Year 2009 Tax Return

Total Filing Population

		Tax Year		
Filing Status	***2006***	***2007***	***2008***	***2009***
MFJ	53,318,770	55,360,241	53,528,116	52,543,741
Single*	86,140,563	97,542,501	88,384,470	84,164,151
Total	***139,459,333***	***152,902,742***	***141,912,586***	***136,707,892***

Filers Reporting Adjusted Gross Income of $1M or More

		Tax Year		
Filing Status	***2006***	***2007***	***2008***	***2009***
MFJ	297,002	330,001	259,152	194,209
Single*	62,378	69,433	52,990	37,940
Total	***361,386***	***401,441***	***314,150***	***234,158***

Filers Reporting Unemployment Compensation
With Adjusted Gross Income of $1M or More

		Tax Year		
Filing Status	***2006***	***2007***	***2008***	***2009***
MFJ	1,621	1,937	2,413	2,146
Single*	229	245	282	237
Total	***1,850***	***2,182***	***2,695***	***2,383***

Percentage of Filers Reporting Unemployment Compensation
With Adjusted Gross Income of $1M or More

		Tax Year		
Filing Status	***2006***	***2007***	***2008***	***2009***
MFJ	0.55%	0.59%	0.93%	1.10%
Single*	0.37%	0.35%	0.53%	0.62%
Total	***0.51%***	***0.54%***	***0.86%***	***1.02%***

Percentage of All Filers Reporting Adjusted Gross Income of $1M or More

		Tax Year		
Filing Status	***2006***	***2007***	***2008***	***2009***
MFJ	0.56%	0.60%	0.48%	0.37%
Single*	0.07%	0.07%	0.06%	0.05%
Total	***0.26%***	***0.26%***	***0.22%***	***0.17%***

* Single - All filing Statuses not Married Filing Jointly.

IRS/RAS, March 2011

Table 1. Individual Income Tax Returns: Selected Tax Items for Returns With Adjusted Gross Income $1,000,000 or more, Tax Years 2006-2009

[All figures are estimates based on samples--money amounts are in thousands of dollars]

Item	Tax Year			
	2006	2007	2008	Preliminary 2009
	(1)	(2)	(3)	(4)
All returns	**354,093**	**392,222**	**321,295**	**233,435**
Agriculture program payments, total [1]				
Number of returns	5,923	6,242	5,436	3,432
Amount	85,001	78,374	74,536	45,724
Agriculture program payments, taxable [1]				
Number of returns	5,803	6,096	5,336	3,368
Amount	81,520	66,574	73,756	45,622
Renting residential and vacation property expenses				
Number of returns	N/A	N/A	N/A	N/A
Amount	N/A	N/A	N/A	N/A
Total rent income [2]				
Number of returns	106,569	114,543	97,641	69,038
Amount	22,138,686	23,709,153	21,516,273	17,526,368
Rental expenses				
Number of returns	105,966	114,484	96,254	69,074
Amount	17,050,076	18,641,646	16,058,841	12,501,897
Rent net income or loss [2]				
Number of returns	109,932	118,641	100,261	71,219
Amount	5,088,610	5,067,507	5,457,432	5,024,471
Gambling income				
Number of returns	11,812	12,932	11,517	9,546
Amount	7,413,899	9,044,644	7,986,875	7,754,875
Gambling losses [3]				
Number of returns	10,181	10,791	9,891	8,225
Amount	4,849,702	6,550,945	5,421,402	4,151,841
Cancelled debt excluded from gross income				
Number of returns	N/A	N/A	78	126
Amount	N/A	N/A	23,974	104,264
Business entertainment expenses [4]				
Number of returns	39,208	42,181	34,348	22,596
Amount	168,038	174,654	162,676	102,337

Mortgage interest deduction				
Number of returns	227,079	251,493	209,116	143,441
Amount	7,549,494	8,640,633	7,065,350	4,445,994

[1] Data includes payments from Federal and State programs.

[2] The statistics for rental income and losses reported on Schedule E includes rents from commercial buildings and storage facilities as well as residential property. Most rental income reported on Schedule E are subject to the passive loss limitations.

[3] Gambling losses were not allowed to offset gambling earnings but were instead shown as an itemized deduction. Gambling losses were limited to the extent of gambling earnings.

[4] Business entertainment expenses were subject to a 50% limitation (if a taxpayer was subject to the Department of Transportation's "hours of service" limits, a taxpayer could deduct 80% of their business-related meal and entertainment expenses),

N/A - Data not available.

Source: IRS, Statistics of Income Division, April 2011